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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Dany Berube  
**APPLICATION NO.:** 10/039,873  
**FILING DATE:** January 3, 2002  
**TITLE:** Flexible Device For Ablation Of Biological Tissue  
**EXAMINER:** Aaron F. Roane  
**GROUP ART UNIT:** 3739  
**ATTY. DKT. NO.:** 23488-09188

**CERTIFICATE OF MAILING**

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Dated: 11/9/04 By: A. C. Smith  
**Albert C. Smith, Reg. No.: 20,355**

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**INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing

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date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
  - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
  - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated

under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☒ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
DANY BERUBE ET AL.

Dated: 11/9/04

By: A.C. Smith  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/039,873
		Filing Date	January 3, 2002
		First Named Inventor	Dany Berube
		Group Art Unit Number	3739
		Examiner Name	Aaron F. Roane
Total Number of Pages in This Submission	14 *	Attorney Docket Number	23488-09188

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT		
Signature:	<i>A.C. Smith</i>	
Attorney/Reg. No.:	Albert C. Smith/Reg. No. 20,355	Dated: 11/9/04

CERTIFICATE OF MAILING		
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Signature:	<i>A.C. Smith</i>	
Typed or Printed Name:	Albert C. Smith	Dated: 11/9/04
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	10/039,873
				Filing Date	January 3, 2002
				First Named Inventor	Dany Berube
				Art Unit	3739
				Examiner Name	Aaron F. Roane
Sheet	1	of	11	Attorney Docket Number	23488-09188

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-3,598,108	08-10-1971	JAMSHIDI ET AL.
		US-3,976,082	08-24-1976	SCHMITT
		US-4,033,357	07-05-1977	HELLAND ET AL.
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		US-4,312,364	01-26-1982	CONVERT ET AL.
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		US-4,800,899	01-31-1989	ELLIOTT
		US-4,823,812	04-25-1989	ESHEL ET AL.
		US-4,825,880	05-02-1989	STAUFFER ET AL.
		US-4,841,988	06-27-1989	FETTER ET AL.

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

23488/09188/DOCS/1478389.1

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/039,873
Filing Date	January 3, 2002
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	23488-09188

Sheet	2	of	11
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		US-4,841,990	06-27-1989	KIKUCHI ET AL.
		US-4,881,543	11-21-1989	TREMBLY ET AL.
		US-4,891,483	01-02-1990	KIKUCHI ET AL.
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Examiner  
SignatureDate  
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Sheet 3 of 11

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		US-5,344,441	09-06-1994	GRONAUER
		US-5,358,515	10-25-1994	HÜRTER ET AL.
		US-5,364,336	11-15-1994	CARR
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		US-5,484,433	01-16-1996	TAYLOR ET AL.
		US-5,487,757	01-30-1996	TRUCKAI ET AL.
		US-5,494,039	02-27-1996	ONIK ET AL.

Examiner Signature		Date Considered	
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				Examiner Name	Aaron F. Roane
Sheet	4	of	11	Attorney Docket Number	23488-09188

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,496,271	03-05-1996	BURTON ET AL.
		US-5,507,743	04-16-1996	EDWARDS ET AL.
		US-5,514,131	05-07-1996	EDWARDS ET AL.
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		US-5,630,837	05-20-1997	CROWLEY
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		US-5,733,280	03-31-1998	AVITALL
		US-5,737,384	04-07-1998	FENN
		US-5,741,225	04-21-1998	LAX ET AL.
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		US-5,743,239	04-28-1998	IWASE
		US-5,762,626	06-09-1998	LUNDQUIST ET AL.
		US-5,769,846	06-23-1998	EDWARDS ET AL.

Examiner Signature		Date Considered	
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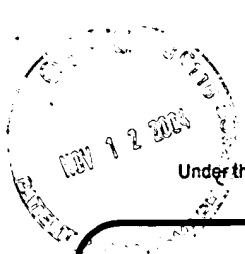
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Art Unit	3739
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Attorney Docket Number	23488-09188

Sheet 5 of 11

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		US-5,782,747	07-21-1998	ZIMMON
		US-5,782,828	07-21-1998	CHEN ET AL.
		US-5,785,706	07-28-1998	BEDNAREK
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		US-5,788,692	08-04-1998	CAMPBELL ET AL.
		US-5,797,905	08-25-1998	FLEISCHMAN ET AL.
		US-5,800,378	09-01-1998	EDWARDS ET AL.
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		US-5,800,413	09-01-1998	SWARTZ ET AL.
		US-5,800,482	09-01-1998	POMERANZ ET AL.
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		US-5,807,309	09-15-1998	LUNDQUIST ET AL.
		US-5,807,395	09-15-1998	MULIER ET AL.
		US-5,810,803	09-22-1998	MOSS ET AL.
		US-5,814,028	09-29-1998	SWARTZ ET AL.
		US-5,823,197	10-20-1998	EDWARDS
		US-5,823,955	10-20-1998	KUCK ET AL.
		US-5,826,576	10-27-1998	WEST
		US-5,829,447	11-03-1998	STEVENS ET AL.
		US-5,836,990	11-17-1998	LI
		US-5,840,027	11-24-1998	SWARTZ ET AL.
		US-5,843,026	12-01-1998	EDWARDS ET AL.
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Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/039,873
Filing Date	January 3, 2002
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	23488-09188

Sheet 6 of 11

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				Art Unit	3739
				Examiner Name	Aaron F. Roane
Sheet	7	of	11	Attorney Docket Number	23488-09188

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Examiner Name	Aaron F. Roane
Attorney Docket Number	23488-09188

Sheet	8	of	11
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Sheet 9 of 11

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
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				Filing Date	January 3, 2002
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